Introduction to the Guidelines
for the domestic facilitation and regulation of international
disaster relief and initial recovery assistance
About the Disaster Law Programme

The International Federation of Red Cross and Red Crescent Societies’ Disaster Law programme seeks to reduce human vulnerability by promoting legal preparedness for disasters through advocacy, technical assistance, training and research.
Natural disasters are increasing worldwide. They are striking in new locations, with greater impacts, and affecting more people than ever before. In 2010, more than ten times as many people died in a single day as a result of the Haiti earthquake than in all disasters in 2009. We also saw historic flooding submerge one-fifth of Pakistan’s national territory, affecting more than 20 million people. In 2011, drought brought an acute food and livelihood crisis to Kenya, and weeks of intense rain have brought floods and landslides to Bolivia. Capturing the world’s attention, Japan had to contend with the effects of not one, but three crises due to an earthquake and the resulting tsunami and radiation leaks.

Greater needs have also led to greater international responses. The number and diversity of international responders has multiplied over the past decades. Non-traditional actors new to disaster response have entered the field. The Haiti earthquake is a striking recent example, attracting more than 400 foreign organizations. This outpouring of generosity demonstrably saves lives – but it can also strain the capacity of local authorities to facilitate, coordinate and oversee the relief effort.

Regulatory mechanisms are often seen as impediments in the aftermath of a disaster – something to be bypassed rather than as tools to enhance the effectiveness of response. But well-designed systems and processes are critical to meet the needs of the affected population. They can swiftly and efficiently direct international assistance to where it is most needed, and they can help ensure that appropriate quality and accountability standards are maintained.

In November 2007, the 30th International Conference of the Red Cross and Red Crescent unanimously adopted a set of recommendations to help governments prepare their regulatory systems for international disaster response. The Guidelines for the domestic facilitation and regulation of international disaster relief and initial recovery assistance have been widely endorsed in the years since their adoption. They have been recognised in several UN General Assembly resolutions, and referred to by regional organizations, such as CAPRADE and NATO. Dozens of governments and National Red Cross and Red Crescent Societies made use of the Guidelines to review their national legislation – with support from the IFRC. This progress is encouraging, but with renewed momentum there is potential for much more.
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In the past few decades, many countries have improved their ability to mitigate and respond to the effects of disasters. However, some catastrophic events are still overwhelming domestic capacities. This is unfortunately likely to continue in the near future, in light of the increasing severity of meteorological events caused by global warming and the increasing numbers of people living in precarious situations. When this occurs, international cooperation is essential to meet the humanitarian needs of affected communities.

Recent disasters have also shown that no state can reasonably consider itself immune from the occasional need for international assistance. As demonstrated by the 2010 and 2011 earthquakes in New Zealand and Japan and 2005’s Hurricane Katrina in the United States, even the wealthiest societies can sometimes use a helping hand from abroad.

Unfortunately, very few governments are adequately prepared for the possibility that they may one day require international assistance. Often, the result is a poorly attuned approach when the need arises. Over-regulation in some areas leads to unnecessary bureaucratic bottlenecks slowing the entry and distribution of relief, while under-regulation in others allows for poor quality and uncoordinated efforts. These problems are compounded by the increasing number and variety of international actors who may seek to provide assistance in response to large disasters.

Unprepared governments suffer countless administrative and political headaches in these cases, while aid providers are frustrated by unnecessary delays and substantially higher costs. However, the principal victims are the families whose lives have been turned upside-down by a major disaster and who need immediate, effective support.

To address these problems, the International Federation of Red Cross and Red Crescent Societies (IFRC) consulted extensively with governments and relief specialists to develop the Guidelines for the Domestic Facilitation and Regulation of International Disaster Relief and Initial Recovery Assistance (“the IDRL Guidelines”).
What are the IDRL Guidelines?

The IDRL Guidelines are a set of recommendations to governments on how to prepare their disaster laws and plans for the common regulatory problems in international disaster relief operations. They advise them as to the minimal quality standards they should insist upon in humanitarian assistance as well as the kinds of legal facilities aid providers need to do their work effectively. While responding to today’s common problems, they are based on existing international legal and policy documents.
In 2001, the IFRC began its International Disaster Response Laws, Rules and Principles (IDRL) Programme (now the Disaster Law programme) to investigate how legal frameworks can contribute to improving the delivery of disaster relief. Through this programme, the Federation gathered information on existing international and national law, prepared or commissioned over two dozen individual case studies around the globe, and consulted widely with relevant stakeholders about their experience of legal issues in disaster operations.

In 2003, the 28th International Conference of the Red Cross and Red Crescent (gathering the state parties to the Geneva Conventions and the components of the International Red Cross and Red Crescent Movement) commended this ongoing work and called on the Federation to work collaboratively with partners to develop “guidelines for practical use in international disaster response activities.”

In 2006-2007, the IFRC and its partners organized a series of high-level regional forums, which resulted in the development of the IDRL Guidelines. In all, over 140 governments, 140 National Red Cross and Red Crescent Societies and 40 international organizations, NGOs and NGO networks participated in these forums or otherwise provided input into the drafting of the IDRL Guidelines.

In November 2007, states and Red Cross and Red Crescent actors unanimously adopted the IDRL Guidelines at the 30th International Conference of the Red Cross and Red Crescent.
Why are the IDRL Guidelines needed?

The IDRL Guidelines are needed because most countries do not have special laws in place for facilitating and regulating international relief. The result is a common set of problems, including:

**a. Unnecessary red tape**
- Restrictions and delays in customs clearance for relief goods and equipment
- Imposition of duties, tolls and other taxes on relief items and activities
- Difficulties and delays in obtaining and renewing necessary visas and permits for humanitarian personnel
- Problems obtaining legal recognition of foreign professional qualifications for specialized personnel (particularly medical staff)
- Difficulties in legal registration for foreign humanitarian organizations, leading to restrictions in opening bank accounts and hiring local staff

**b. Poor quality and coordination from some international providers**
- Importation of unnecessary or inappropriate relief items
- Failure to coordinate with domestic authorities and other relief providers
- Use of inadequately trained personnel
- Failure to consult with beneficiaries
- Culturally unacceptable behaviour
- Proselytizing

Experience has shown that the wake of a major disaster is the wrong time to try to develop new rules and systems to address these kinds of problems. The IDRL Guidelines are designed to help governments to prepare for them before disasters strike.
a. Domestic actors have the primary role
The IDRL Guidelines recognize that it is first and foremost the responsibility of the government of the affected state to address the humanitarian needs caused by a disaster within its borders. National Red Cross or Red Crescent Societies and other domestic civil society actors in the affected state play a key supporting role. International disaster assistance should be designed and implemented so as to be complementary to the efforts of these domestic actors, rather than displace them.

b. International relief providers have responsibilities
The IDRL Guidelines also insist that international assistance providers be held responsible for abiding by certain minimum humanitarian standards in their disaster assistance. These include the principles of humanity, neutrality, and impartiality as well as minimum standards of coordination and quality in their relief goods, personnel and programmes, as drawn from sources such as the Code of Conduct of the Red Cross and Red Crescent Movement and NGOs in Disaster Relief and the Sphere Humanitarian Charter and Minimum Standards in Disaster Relief.

c. International actors need legal facilities
The IDRL Guidelines set out specific types of legal facilities or accommodations that governments should provide to assisting states and humanitarian organizations so that they can do an effective job of responding to humanitarian needs. For example, they call for:

- Expedited visa processing and customs clearance for relief personnel, goods and equipment
- Facilitation of relief transport
- Exemptions from taxes, duties and fees on relief activities
- Simplified means for humanitarian organizations to acquire temporary domestic legal personality in order to operate legally in the country

A distinction is made in some of these provisions between “relief” and “initial recovery assistance,” inasmuch as speed is much more critical for the former than the latter type of aid. The IDRL Guidelines also encourage states to reduce legal barriers to disaster relief originating within or passing through their territories to another country affected by a disaster, in order to avoid delays.

d. Some legal facilities should be conditional
In order to lend some weight to the responsibilities of assisting humanitarian organizations in particular, the IDRL Guidelines encourage governments (to the extent permissible under international law) to condition the granting of legal facilities to these organizations on their commitment to, and ongoing compliance with, the minimal standards described above.

What are the core ideas of the IDRL Guidelines?
The IDRL Guidelines suggest that this could be implemented in various ways, for example, through a simple registration procedure, ideally available not only in the immediate aftermath of a disaster but also as a preparatory measure in advance. The granting state should then monitor the performance of registered organizations for ongoing compliance with the required standards.

The IDRL Guidelines note that some states may wish to grant legal facilities to private companies providing charitable relief in a disaster setting. If they do so, they are encouraged to hold them to the same standards as humanitarian organizations. No similar conditionality is suggested for government-to-government aid due to the availability of alternative diplomatic means of redressing quality or coordination issues.
How can the IDRL Guidelines be used?

The IDRL Guidelines can be used in a number of ways to strengthen legal preparedness for international disaster relief:

Governments

- Lawmakers might draw on the IDRL Guidelines to draft disaster management legislation
- Relevant ministries might use them as the basis for designing implementing regulations, plans and procedures
- Executive authorities might draw on them in developing provisional rules enacted under emergency powers when a state of disaster has been declared
- Governments might use them as a basis for developing bilateral agreements

Humanitarian Organizations

- Humanitarian organizations might draw on the IDRL Guidelines to develop agreements and memoranda of understanding with governments
- They might use them as a reference for negotiating rights and obligations when operations must commence before such agreements are in place
- They might also use them as a checklist of potential legal issues for which to prepare in advance of a relief operation

Regional Inter-Governmental Organizations

- Regional organizations might draw on the IDRL Guidelines to fashion agreements and standard operating procedures to facilitate cross-border relief among their members

The IDRL Guidelines since 2007

As at 2012, nine countries had adopted new laws or regulations consistent with the IDRL Guidelines and nearly two dozen had been involved with their National Societies, with support from the IFRC, in formal legal review processes. Several UN General Assembly resolutions had also been adopted encouraging states to use them. In typical language, Resolution 65/133 dated 3 March 2011, on strengthening the coordination of emergency humanitarian assistance of the United Nations, welcomed "the initiatives at the regional and national levels related to the implementation of the Guidelines for the Domestic Facilitation and Regulation of International Disaster Relief and Initial Recovery Assistance, adopted at the Thirtieth International Conference of the Red Cross and Red Crescent, held in Geneva from 26 to 30 November 2007, and encourages Member States and, where applicable, regional organizations, to
take further steps to strengthen operational and legal frameworks for international disaster relief, taking into account the Guidelines, as appropriate.”

In cooperation with UN Office for the Coordination of Humanitarian Affairs (OCHA) and the Inter-Parliamentary Union (IPU), the IFRC developed a pilot version of a “Model Act for the Facilitation and Regulation of International Disaster Assistance,” designed to assist states to integrate the recommendations of the IDRL Guidelines into their national laws. The pilot version of the Model Act was made available to states and National Societies at the 31st International Conference of the Red Cross and Red Crescent in November 2011.

The 31st International Conference took stock of the global progress in implementing the IDRL Guidelines and in its Resolution 7 emphasized the urgency of states implementing the Guidelines, with support from their National Societies and the IFRC, to implement them into their own legislation and practice.
The following additional resources are available on the IFRC’s website free of charge at www.ifrc.org/dl.

a. Annotations
As part of the development process, the IFRC prepared an unofficial set of Annotations to the IDRL Guidelines. The Annotations provide references to the international legal and policy documents drawn upon for the various provisions of the IDRL Guidelines. These include many specialized instruments that may be of key support to governments drafting new laws and policies. The Annotations also provide some explanation as to the rationale and proposed application of the various recommendations of the IDRL Guidelines.

b. Model Act
The Model Act for the Facilitation and Regulation of International Disaster Assistance is intended as a reference tool for states finding gaps in their domestic legal frameworks. It was drafted in cooperation with the United Nations Office of the Coordination of Humanitarian Affairs and the Inter-Parliamentary Union, and is accompanied by a detailed commentary.

c. Desk and case studies
In November 2007, the IFRC published a comprehensive desk study entitled “Law and Legal Issues in International Disaster Response.” The desk study describes the existing international legal frameworks for disaster response and sets out the major legal problem areas, as identified by the IFRC’s consultation and research, including over two dozen individual country or regional case studies. The case studies themselves are also separately available.

d. Online introduction
The IFRC offers an interactive introduction to the IDRL Guidelines designed for non-experts. This half-hour introduction reviews the main regulatory issues in international operations, key international instruments and the IDRL Guidelines.

d. Disaster Law database
The IFRC’s website additionally features a searchable database of over 800 full-text international and national legal instruments relevant to disaster relief. It is the largest collection of its kind in this newly emerging domain of law.

e. Monthly newsletter and weekly news service
For those interested in new developments, the IFRC produces a monthly e-newsletter which highlights innovations in disaster management law, as well as a weekly electronic news service compiling relevant news articles.
IDRL Guidelines
Guidelines for the domestic facilitation and regulation of international disaster relief and initial recovery assistance

Introduction

1. Purpose and Scope

1. These are non-binding. While it is hoped that States will make use of them to strengthen their laws, policies and/or procedures related to international disaster response, as appropriate, the Guidelines do not have a direct effect on any existing rights or obligations under domestic law.


3. Their purpose is to contribute to national legal preparedness by providing guidance to States interested in improving their domestic legal, policy and institutional frameworks concerning international disaster relief and initial recovery assistance. While affirming the principal role of domestic authorities and actors, they recommend minimum legal facilities to be provided to assisting States and to assisting humanitarian organizations that are willing and able to comply with minimum standards of coordination, quality and accountability. It is hoped that the use of these Guidelines will enhance the quality and efficiency of international disaster relief and initial recovery assistance in order to better serve disaster-affected communities.

4. These Guidelines are not intended to apply to situations of armed conflict or disasters that occur during armed conflicts, or to imply changes in any rules governing relief in those contexts. They are also not intended to recommend any changes to, or affect the meaning or implementation of, any existing international law or agreements, including but not limited to:

   a. International humanitarian, human rights and refugee law;
   b. The legal personality and status of States, inter-governmental organizations, the
   c. International Federation of Red Cross and Red Crescent Societies and the International Committee of the Red Cross;
   d. 
   e. International law related to privileges and immunities;
   f. The Statutes and regulations of the International Red Cross and Red Crescent Movement and existing legal arrangements between the individual components of the Movement and States; and
   g. Existing agreements between States or between States and assisting actors.
2. Definitions

For the purposes of these Guidelines,

1. “Disaster” means a serious disruption of the functioning of society, which poses a significant, widespread threat to human life, health, property or the environment, whether arising from accident, nature or human activity, whether developing suddenly or as the result of long-term processes, but excluding armed conflict.

2. “Disaster relief” means goods and services provided to meet the immediate needs of disaster-affected communities.

3. “Initial recovery assistance” means goods and services intended to restore or improve the pre-disaster living conditions of disaster-affected communities, including initiatives to increase resilience and reduce risk, provided for an initial period of time, as determined by the affected State, after the immediate needs of disaster-affected communities have been met.

4. “Goods” means the supplies intended to be provided to disaster-affected communities for their relief or initial recovery.

5. “Services” means activities (such as rescue and medical care) undertaken by disaster relief and initial recovery personnel to assist disaster-affected communities.

6. “Equipment” means physical items, other than goods, that are necessary for disaster relief or initial recovery assistance, such as vehicles and radios.

7. “Personnel” means the staff and volunteers providing disaster relief or initial recovery assistance.

8. “Affected State” means the State upon whose territory persons or property are affected by a disaster.

9. “Assisting State” means a State providing disaster relief or initial recovery assistance, whether through civil or military components.

10. “Originating State” means the State from which disaster relief and initial recovery personnel, goods and equipment begin travel to the affected State.

11. “Transit State” means the State through whose territorial jurisdiction disaster relief or initial recovery assistance has received permission to pass on its way to or from the affected State in connection with disaster relief or initial recovery assistance.

12. “Assisting humanitarian organization” means a foreign, regional, intergovernmental or international non-profit entity whose mandate and activities are primarily focused on humanitarian relief, recovery or development.

13. “Eligible assisting humanitarian organization” means an assisting humanitarian organization determined to be eligible to receive legal facilities pursuant to Part V by the originating, transit or affected State, as applicable.

14. “Assisting actor” means any assisting humanitarian organization, assisting State, foreign individual, foreign private company providing charitable relief or other foreign entity responding to a disaster on the territory of the affected State or sending in-kind or cash donations.
Part I: Core Responsibilities

3. Responsibilities of Affected States

1. Affected States have the primary responsibility to ensure disaster risk reduction, relief and recovery assistance in their territory. National Red Cross and Red Crescent Societies, as auxiliaries to the public authorities in the humanitarian field, and domestic civil society actors play a key supporting role at the domestic level.

2. If an affected State determines that a disaster situation exceeds national coping capacities, it should seek international and/or regional assistance to address the needs of affected persons.

3. Affected States have the sovereign right to coordinate, regulate and monitor, disaster relief and recovery assistance provided by assisting actors on their territory, consistent with international law.

4. Responsibilities of Assisting Actors

1. Assisting actors and their personnel should abide by the laws of the affected State and applicable international law, coordinate with domestic authorities, and respect the human dignity of disaster-affected persons at all times.

2. Assisting actors should ensure that their disaster relief and initial recovery assistance is provided in accordance with the principles of humanity, neutrality and impartiality, and in particular:

   a. Aid priorities are calculated on the basis of need alone;
   b. Provided without any adverse distinction (such as in regards to nationality, race, ethnicity, religious beliefs, class, gender, disability, age and political opinions) to disaster-affected persons;
   c. Provided without seeking to further a particular political or religious standpoint, intervene in the internal affairs of the affected State, or obtain commercial gain from charitable assistance;
   d. Not used as a means to gather sensitive information of a political, economic or military nature that is irrelevant to disaster relief or initial recovery assistance.

3. To the greatest extent practicable, their disaster relief and initial recovery assistance should also be:

   a. Responsive to the special needs, if any, of women and particularly vulnerable groups, which may include children, displaced persons, the elderly, persons with disabilities, and persons living with HIV and other debilitating illnesses;
   b. Adequate for the needs of affected persons and consistent with any applicable international standards of quality;
   c. Coordinated with other relevant domestic and assisting actors;
   d. Provided and conducted in a manner that is sensitive to cultural, social and religious customs and traditions;
   e. Carried out with adequate involvement of affected persons, including women, youth and the elderly, in their design, implementation, monitoring and evaluation;
   f. Provided by competent and adequately trained personnel;
   g. Commensurate with their organisational capacities;
h. Building upon and conducted in a manner that strengthens local disaster risk reduction, relief and recovery capacities and reduces future vulnerabilities to disasters;

i. Carried out so as to minimize negative impacts on the local community, economy, job markets, development objectives and the environment; and

j. Provided in a transparent manner, sharing appropriate information on activities and funding.

5. Additional Responsibilities of All States

1. States providing funding to other assisting actors should encourage them to act in a manner consistent with the provisions of paragraph 4.

2. All States should actively encourage members of the public interested in contributing to international disaster relief or initial recovery to make financial donations where possible or otherwise donate only those types of relief goods expressly requested by the affected State.

6. Responsibilities Concerning Diversion and the Intended Use of Resources

1. States and assisting humanitarian organizations should cooperate to prevent unlawful diversion, misappropriation, or fraud concerning disaster relief or initial recovery goods, equipment or resources and initiate proceedings as appropriate.

2. Affected States should use funds and relief goods donated to them, and which they have accepted in relation to a disaster, in a manner consistent with the expressed intent with which they were given.

Part II: Early Warning and Preparedness

7. Early Warning

1. In order to minimize transboundary impacts and maximize the effectiveness of any international assistance that might be required, all States should have procedures in place to facilitate the expeditious sharing of information about disasters, including emerging hazards that are likely to cause disasters, with other States and assisting humanitarian organizations as appropriate, including the United Nations’ Emergency Relief Coordinator.

8. Legal, Policy and Institutional Frameworks

1. As an essential element of a larger disaster risk reduction programme, States should adopt comprehensive legal, policy, and institutional frameworks and planning for disaster prevention, mitigation, preparedness, relief and recovery which take full account of the auxiliary role of their National Red Cross or Red Crescent Society, are inclusive of domestic civil society, and empower communities to enhance their own safety and resilience. States, with the support, as appropriate, of relevant regional and international organizations, should devote adequate resources to ensure the effectiveness of these frameworks.

2. These frameworks should also adequately address the initiation, facilitation, transit and regulation of international disaster relief and initial recovery assistance consistent with these Guidelines. They should allow for effective coordination of international disaster relief and initial recovery assistance, taking into account the role of the United Nations Emergency Relief Coordinator as central focal point with States and assisting humanitarian organizations concerning United Nations emergency relief operations. They should also clearly
designate domestic governmental entities with responsibility and authority in these areas. Consideration should be given to establishing a national focal point to liaise between international and government actors at all levels.

3. Where necessary and appropriate, national governments should encourage other domestic actors with authority over areas of law or policy pertinent to international disaster relief or initial recovery assistance, such as provincial or local governments and private regulatory bodies, to take the necessary steps at their level to implement the Guidelines.

9. Regional and International Support for Domestic Capacity
1. With a view to increasing resilience and reducing the need for international disaster relief and initial recovery assistance, the international community, including donors, regional and other relevant actors, should support developing States, domestic civil society actors and National Red Cross and Red Crescent Societies to build their capacities to prevent, mitigate, prepare for and respond to disasters domestically.

2. The international community should also support developing States to build the capacity to adequately implement legal, policy and institutional frameworks to facilitate international relief and initial recovery assistance. This support should be provided to States in a coordinated manner among the relevant actors.

Part III: Initiation and Termination of International Disaster Relief and Initial Recovery Assistance
10. Initiation
1. Disaster relief or initial recovery assistance should be initiated only with the consent of the affected State and in principle, on the basis of an appeal. The affected State should decide in a timely manner whether or not to request disaster relief or initial recovery assistance and communicate its decision promptly. In order to make this decision, the affected State should promptly assess needs. Consideration should be given to undertaking joint needs assessments with the United Nations and other assisting humanitarian organisations.

2. Requests and offers for assistance should be as specific as possible as to the types and amounts of goods as well as the services and expertise available or required, respectively. Affected States may also wish to indicate particular types of goods and services likely to be offered that are not needed.

3. Affected States should make available to assisting actors adequate information about domestic laws and regulations of particular relevance to the entry and operation of disaster relief or initial recovery assistance.

11. Initiation of Military Relief
1. Military assets should be deployed for disaster relief or initial recovery assistance only at the request or with the express consent of the affected State, after having considered comparable civilian alternatives. Prior to any such deployment, terms and conditions (including such issues as the duration of deployment, whether they must be unarmed or may be armed the use of their national uniforms, and mechanisms for cooperation with civilian actors) are to be agreed by the affected and assisting States.
12. Termination
1. When an affected State or an assisting actor wishes to terminate disaster relief or initial recovery assistance, it should provide appropriate notification. Upon such notification, the affected State and the assisting actor should consult with each other, bearing in mind the impact of such termination on disaster-affected communities.

Part IV: Eligibility for Legal Facilities
13. Facilities for Assisting States
1. It is recommended that transit and affected States grant, at a minimum, the legal facilities described in Part V to assisting States with respect to their disaster relief or initial recovery assistance.

14. Facilities for Assisting Humanitarian Organizations
1. Subject to existing international law, it is the prerogative of originating, transit and affected States to determine which assisting humanitarian organizations will be eligible to receive the legal facilities described in Part V with respect to their disaster relief or initial recovery assistance.

2. It is recommended that States establish criteria for assisting humanitarian organizations seeking eligibility for legal facilities. These criteria should include a showing by the organization of its willingness and capacity to act in accordance with the responsibilities described in paragraph 4 of these Guidelines.

3. Any additional requirements imposed on assisting humanitarian organizations should not unduly burden the provision of appropriate disaster relief and initial recovery assistance.

4. Determination of eligibility by the State granting the facilities should be possible in advance of a disaster, or as soon as possible after its onset. Applicable procedures and mechanisms should be as simple and expeditious as possible. They should be clearly described and information about them should be made freely available. They might include the use of a national roster, bilateral agreements or reliance upon international or regional systems of accreditation, if available.

5. Retention of the legal facilities in Part V should be made dependent on ongoing compliance with the provisions of subsection 2 of this paragraph. However, entitlement to legal facilities should not be changed arbitrarily, retroactively or without notice appropriate to the circumstances.

15. Facilities for Other Assisting Actors
1. Affected States may also wish to extend, upon request, some of the legal facilities in Part V to assisting actors other than those covered by paragraphs 13 and 14, such as private companies providing charitable relief, provided this does not negatively affect operations of assisting humanitarian organizations or assisting States. Any actor receiving such facilities should be required to abide, at a minimum, by the same conditions described in paragraph 14.

Part V: Legal Facilities for Entry and Operations
It is recommended that States provide the legal facilities described in paragraphs 16-24 to assisting States and eligible assisting humanitarian organizations. It is understood that the granting of these facilities will be subject to the interests of national security, public order, public and environmental health, and public morals of the concerned affected,
originating and transit States. Measures to protect such interests should be tailored to the exigencies of the specific disaster and consistent with the humanitarian imperative of addressing the needs of affected communities.

Where specific facilities recommended here are within the competence of authorities other than the national government, the national government should, where possible and appropriate, encourage those authorities to provide the relevant facilities to assisting States and eligible assisting humanitarian organizations.

16. Personnel
1. With regard to disaster relief and initial recovery personnel of assisting States and eligible assisting humanitarian organizations, affected States should:

   a. Grant visas and any necessary work permits, ideally without cost, renewable within their territory, for the time necessary to carry out disaster relief or initial recovery activities;

   b. In disaster relief operations, waive or significantly expedite the provision of such visas and work permits;

   c. Establish expedited procedures for temporary recognition of professional qualifications of foreign medical personnel, architects, and engineers, drivers licences and other types of licenses and certificates that are necessary for the performance of disaster relief or initial recovery functions and that have been certified as genuine by the concerned assisting State or eligible assisting humanitarian organization, for the time necessary to carry out disaster relief or initial recovery activities;

   d. Facilitate freedom of access to and freedom of movement in and from the disaster-affected area, bearing in mind the safety of disaster relief and initial recovery personnel.

2. Upon request, originating and transit States should likewise waive or promptly issue, ideally without cost, exit or transit visas, as appropriate, for the disaster relief and initial recovery personnel of eligible assisting humanitarian organizations.

3. Assisting States and eligible assisting humanitarian organizations should consider to what degree disaster relief and initial recovery objectives can be met through hiring local staff.

17. Goods and Equipment
1. With regard to disaster relief and initial recovery goods and equipment exported or imported by, or on behalf of, assisting States and eligible assisting humanitarian organizations, originating, transit and affected States should:

   a. Exempt them from all customs duties, taxes, tariffs or governmental fees;

   b. Exempt them from all export, transit, and import restrictions;

   c. Simplify and minimize documentation requirements for export, transit and import;

   d. Permit re-exportation of any equipment or unused goods which the assisting State or assisting humanitarian organization owns and wishes to retain.

2. With regard to disaster relief goods and equipment only, originating, transit and affected States should additionally:
a. Waive or reduce inspection requirements. Where waiver is not possible, clear relief goods and equipment rapidly and as a matter of priority, through a “preclearance” process where feasible; and

b. Arrange for inspection and release outside business hours and/or at a place other than a customs office as necessary to minimize delay, in accordance with the safety regulations of the affected State. Assisting States and eligible assisting humanitarian organizations should respect any routes and delivery points prescribed by the affected State.

3. In order to benefit from the facilities above, assisting States and assisting humanitarian organizations should, in accordance with agreed international standards, appropriately pack, classify and mark disaster relief and initial recovery goods and equipment, and include detailed manifests with each shipment. They should additionally inspect all such goods and equipment to ensure their quality, appropriateness for the needs in the affected State, and conformity with the national law of the affected State and international standards.

4. Assisting States and eligible assisting humanitarian organizations should assume responsibility for removing or disposing of any unwanted and unused relief and initial recovery goods, particularly if they may pose a threat to human health or safety, or the environment.

18. Special Goods and Equipment
In addition to the facilities described in paragraph 17:

1. Affected States should grant temporary recognition to foreign registration and plates with regard to vehicles imported by assisting States and eligible assisting humanitarian organizations or on their behalf in disaster relief and initial recovery assistance.

2. Affected States should waived or expedite the granting of any applicable licenses and reduce any other barriers to the use, import or export of telecommunications and information technology equipment by assisting States and assisting humanitarian organizations or on their behalf in disaster relief and initial recovery assistance. Without discrimination against or negative impact to domestic relief actors, affected States should also grant (or where, appropriate, encourage other domestic actors to grant) assisting States and eligible assisting humanitarian organizations priority access to bandwidth, frequencies and satellite use for telecommunications and data transfer associated with disaster relief operations.

3. Originating, transit and affected States should reduce legal and administrative barriers to the exportation, transit, importation and re-exportation of medications and medical equipment by assisting States and eligible assisting humanitarian organizations or on their behalf in disaster relief and initial recovery assistance, to the extent consistent with public safety and international law. Assisting States and eligible assisting humanitarian organizations should take all reasonable steps to ensure the quality, appropriateness and safety of any such medications and equipment and in particular:

   a. Any medications they import should be approved for use in the originating and affected State;

   b. Medications they use in their own operations should be:

      (i) transported and maintained in appropriate conditions to ensure their quality and;
(ii) guarded against misappropriation and abuse.

a. Any medications they donate for use by others in the affected State should be:

(i) at least twelve months from their expiration date upon arrival, unless otherwise agreed by receiving authorities;

(ii) transported and maintained in appropriate conditions to ensure their quality until they reach the affected State; and

(iii) appropriately labelled in a language understood in the affected State with the International Nonproprietary Name or generic name, batch number, dosage form, strength, name of manufacturer, quantity in the container, storage conditions and expiry date.

4. Originating, transit and affected States should consider whether normal requirements regarding fumigation and prohibitions and restrictions on food imports and exports by assisting States and eligible assisting humanitarian organizations in disaster relief operations can be modified or reduced.

19. Transport

1. Originating, transit and affected States should grant, without undue delay, permission for the speedy passage of land, marine and air vehicles operated by an assisting State or eligible assisting humanitarian organization or on its behalf, for the purpose of transporting disaster relief or initial recovery assistance and, ideally, waive applicable fees.

2. In particular, permission should be granted for overflight, landing and departure of aircraft. Such aircraft should also be authorized to operate within the territory of the affected State as required for the delivery of assistance.

3. Any applicable exit, transit and entry visas for the operating personnel of such transport vehicles should be promptly issued.

20. Temporary Domestic Legal Status

1. Affected States should grant relevant entities of assisting States and eligible assisting humanitarian organizations, upon entry or as soon as possible thereafter, at least a temporary authorization to legally operate on their territory so as to enjoy the rights, inter alia, to open bank accounts, enter into contracts and leases, acquire and dispose of property and instigate legal proceedings, for the purpose of providing disaster relief and initial recovery assistance.

2. Assisting States and eligible assisting humanitarian organizations should also be granted the right to freely bring the necessary funds and currencies in or out of the country through legal means and to obtain legal exchange rates in connection with their disaster relief or initial recovery assistance.

3. Affected States should allow assisting States and eligible assisting humanitarian organizations to legally hire and terminate the contracts of local personnel.

21. Taxation

1. Affected States should provide exemptions to assisting States and eligible assisting humanitarian organizations from value-added and other taxes or duties directly associated with disaster relief and initial recovery assistance.
22. Security
1. Affected States should take appropriate measures to address the safety and security of disaster relief and initial recovery personnel of assisting States and eligible assisting humanitarian organizations and of the premises, facilities, means of transport, equipment and goods used in connection with their disaster relief or initial recovery assistance. Assisting States and assisting humanitarian organizations should also take appropriate steps in their own planning and operations to mitigate security risks.

23. Extended Hours
1. Affected States should endeavour to ensure, when necessary, that State-operated offices and services essential to the timely delivery of international disaster relief function outside of normal business hours.

24. Costs
1. The costs of providing international disaster relief or initial recovery assistance pursuant to these Guidelines should normally be borne by the assisting State or assisting humanitarian organization. However, assisting States may agree in advance with the affected State for the reimbursement of certain costs and fees, or for the temporary loan of equipment.

2. Affected States should consider, when it is in their power and to the extent possible under the circumstances, providing certain services at reduced or no cost to assisting States and eligible assisting humanitarian organizations, which may include:

   a. In-country transport, including by national airlines;
   b. Use of buildings and land for office and warehouse space; and
   c. Use of cargo handling equipment and logistic support.
Resolution 4 of the 30th International Conference of the Red Cross and Red Crescent

Adopting resolution

The 30th International Conference of the Red Cross and Red Crescent,

- concerned by the serious plight of all those who urgently require emergency relief and recovery assistance in the wake of disasters;
- reaffirming that the fundamental concern of mankind and of the international community in disaster situations is the protection and welfare of the individual and the safeguarding of basic human rights, as stated in the Declaration of Principles for International Humanitarian Relief to the Civilian Population in Disaster Situations, adopted by the 21st International Conference of the Red Cross in 1969;
- recalling that the International Red Cross and Red Crescent Movement (Movement) considers it a fundamental right of all people both to offer and receive humanitarian assistance, as stated in the Principles and Rules of the Red Cross and Red Crescent in Disaster Relief as amended by the 26th International Conference of the Red Cross and Red Crescent in 1995;
- reiterating that relief actions are an expression of international solidarity and that the extending of relief strengthens friendly relations among peoples and thus contributes to the consolidation of world peace, as stated in Resolution 18 of the 20th International Conference of the Red Cross in 1965;
- noting that the United Nations General Assembly has repeatedly highlighted the importance of humanitarian assistance to persons affected by disasters, including in Resolutions 46/182 of 1991, 43/131 of 1988, and 57/150 of 2002, and that both United Nations General Assembly Resolution 32/56 of 1977 and Resolution 6 of the 23rd International Conference of the Red Cross of 1977 adopted a set of “Measures to Expedite Emergency Relief” to facilitate international relief operations;
- recalling the commitments undertaken by the international community in the Millennium Declaration of 2000 to intensify cooperation to reduce the number and effects of natural and man-made disasters, and in the Hyogo Declaration and Framework for Action of 2005 to improve national institutional and legal frameworks and to strengthen disaster preparedness for increased resilience and effective response to disasters at all levels;
- noting with appreciation the practice of many States to facilitate international disaster relief and recovery assistance when needed and the increased attention and activity of the international humanitarian community to improve the coordination and effectiveness of disaster relief and recovery assistance;
- welcoming the progress that has been made in the elaboration and operation of the International Search and Rescue Advisory Group with the support of the United Nations Office for the Coordination of Humanitarian Affairs and the pioneering efforts made by international humanitarian organizations to develop minimum quality and accountability standards and mechanisms for disaster relief and recovery assistance, such as the Code of Conduct for the International Red Cross and Red Crescent Movement.
and Non-Governmental Organizations (NGOs) in Disaster Relief of 1994
and the Sphere Humanitarian Charter and Minimum Standards in Disaster
Response as amended in 2004;
- recalling Final Goal 2.1.1 of the 27th International Conference of the Red
Cross and Red Crescent of 1999 calling upon States, where necessary, to incorpo-
rate linkages to international systems of disaster response in their national
disaster-preparedness plans as well as to include clearly defined roles and
responsibilities for National Red Cross and Red Crescent Societies, includ-
ing representation on appropriate national policy and coordination bodies;
- recalling further Final Goal 3.2 of the 28th International Conference of the
Red Cross and Red Crescent of 2003, and its determination that improved
awareness, clarification, application and development of laws, rules and
principles applicable to international disaster response will assist in facilitat-
ing and improving the coordination, timeliness, quality and accountability
of international disaster-response activities and can therefore make a major
contribution to the protection of human dignity in situations of disasters;
- noting the findings of the International Federation of Red Cross and
Red Crescent Societies (International Federation), as expressed in the
background document to the Conference (30IC/07/9.1), that the framework
of international laws and standards on international disaster relief and
recovery remains dispersed and under-utilized, that there is often a lack
of harmonization between national law and international standards, and
that legal barriers to effective international disaster relief and recovery
assistance still persist;
- recognizing that the increasing breadth and diversity of international actors
involved in disaster relief and recovery has brought important opportuni-
ties but also some challenges to assisting persons in need effectively and
ensuring the complementarity of international disaster relief and recovery
assistance with domestic response efforts and mechanisms;
- recognizing the sovereign right of affected States to seek, accept, coordinate,
regulate and monitor disaster relief and recovery assistance provided by
assisting actors in their territory;
- considering the crucial role of domestic law and policy in this respect, which
should be further developed consistent with relevant norms and principles
of international law;

1. adopts the Guidelines for the Domestic Facilitation and Regulation of
   International Disaster Relief and Initial Recovery Assistance;
2. encourages States to make use of the Guidelines to strengthen their national
   legal, policy and institutional frameworks as well as when developing, if
   appropriate, bilateral and regional disaster-assistance agreements, under-
   standing that the Guidelines do not constitute binding legal obligations;
3. emphasizes that, with regard to Red Cross and Red Crescent disaster relief
   and recovery activities, the Guidelines will be read consistent with the
   established rules, principles and practices of the Movement, including the
   Statutes of the Movement as amended in 1995 and 2006, the Principles
   and Rules for Red Cross and Red Crescent Disaster Relief as amended
   in 1995, the Seville Agreement on the Organization of the International
   Activities of the Components of the International Red Cross and Red
   Crescent Movement of 1997, and the Supplementary Measures to Enhance
   the Implementation of the Seville Agreement of 2005, and will not affect
   any existing legal arrangements between the individual components of
   the Movement and concerned States;
4. invites States, the International Federation and National Societies to bring these Guidelines to the attention of international and regional inter-governmental and non-governmental organizations concerned with disaster relief and recovery assistance;

5. invites the International Federation and National Societies, in close collaboration with the United Nations as well as other relevant international and regional organizations, to:

6. disseminate and support the use of the Guidelines in strengthening national legal, policy and institutional frameworks for disaster response;

7. promote the mainstreaming of the Guidelines in all relevant existing legal-development, disaster management and risk reduction initiatives, particularly the strengthened International Strategy for Disaster Reduction (ISDR) system and its regional platforms for disaster risk reduction; and

8. continue their research and advocacy efforts, and the development of tools and models for the improvement of legal preparedness for disasters;

9. invites the International Federation, in consultation with National Societies, to submit a progress report on the implementation of this resolution to the 31st International Conference of the Red Cross and Red Crescent.
Resolution 7 of the 31st International Conference of the Red Cross and Red Crescent

Strengthening normative frameworks

The 31st International Conference of the Red Cross and Red Crescent,

- concerned about the growing impacts of natural disasters on the lives, livelihoods and well-being of people around the world, and in particular the poorest and most vulnerable communities;
- recalling Resolution 4 of the 30th International Conference of the Red Cross and Red Crescent of 2007, which adopted the Guidelines for the domestic facilitation and regulation of international disaster relief and initial recovery assistance (also known as the “IDRL Guidelines”) and encouraged states to make use of them;
- recalling Resolutions 65/264 and 65/133 of 2010, 64/251 and 64/76 of 2009, and 63/141, 63/139 and 63/137 of 2008 of the United Nations General Assembly and Resolutions 2010/1 of 2010, 2009/3 of 2009, and 2008/36 of 2008 of the UN Economic and Social Council, which equally encouraged states to strengthen their regulatory frameworks for international disaster assistance, taking the IDRL Guidelines into account;
- recalling Final Goal 3.1 of the Agenda for Humanitarian Action adopted by the 28th International Conference of the Red Cross and Red Crescent in 2003, which called on states to “review their existing legislation and policies to fully integrate disaster risk reduction strategies into all relevant legal, policy and planning instruments in order to address the social, economic, political and environmental dimensions that influence vulnerability to disasters;”
- recalling the Hyogo Framework for Action of 2005, which called on states, inter alia, to make disaster risk reduction a national and local priority with a strong institutional basis for implementation, including through developing policy, legislative and institutional frameworks, allocating dedicated resources and promoting community participation;
- noting that, at the 15th General Assembly of the International Federation of Red Cross and Red Crescent Societies’ (IFRC) in 2005, National Societies determined to scale up the capacity of the IFRC and its members to provide emergency shelter in their response to the humanitarian needs following natural disasters and endorsed the IFRC’s offer to the Emergency Relief Coordinator to take a leadership role in the global “cluster” system in this respect;
- welcoming the IFRC’s background documents on progress in the implementation of the IDRL Guidelines, on law and disaster risk reduction at the community level, and on addressing regulatory barriers to the rapid and equitable provision of emergency and transitional shelter after disasters;
- welcoming the important progress made thus far in implementing the IDRL Guidelines at the national level in some states and in mainstreaming their use at the regional and global levels;
- noting with concern the IFRC’s finding that many states’ legal and institutional frameworks nevertheless remain under-prepared to manage the common regulatory problems in international disaster response operations;
noting with concern the shared findings of the IFRC, the United Nations International Strategy for Disaster Reduction’s (UNISDR) Mid-Term Review of the Hyogo Framework for Action, and of the Global Network of Civil Society Organisations for Disaster Reduction’s surveys of 2009 and 2011 that progress in implementing effective disaster risk reduction action is often faltering at the community level and that many communities feel inadequately engaged and supported on the issue;

noting with concern the IFRC’s finding that regulatory barriers are among the biggest obstacles the Red Cross/Red Crescent and its humanitarian partners face in providing emergency and transitional shelter in a rapid and equitable manner after disasters and may be an important cause of the prolonged suffering of affected persons;

reaffirming that states have the primary duty to take effective action to protect their citizens from the effects of natural disasters, to provide them with any necessary humanitarian assistance in their aftermath as well as to promote their recovery, and that National Societies are committed to supporting them as their auxiliaries in the humanitarian field;

reaffirming the sovereign right of affected states to seek, accept, coordinate, regulate and monitor disaster relief and recovery assistance provided by assisting actors in their territory;

Strengthening legal preparedness for international disaster response

1. reiterates the urgency for states to be prepared to facilitate and regulate any international disaster assistance they may require, in order to ensure that affected persons receive timely and effective relief;

2. calls on those states that have not already made use of the IDRL Guidelines to examine and, where appropriate, strengthen their national legal, policy and/or institutional frameworks to consider doing so, with support from their National Societies, the IFRC, the United Nations and other relevant partners;

3. encourages states and National Societies to continue to promote the IDRL Guidelines to relevant public authorities at all levels;

4. invites regional and international organizations to continue to make use of the IDRL Guidelines in developing and strengthening norms and mechanisms for cooperation in providing disaster relief and initial recovery assistance;

5. welcomes the efforts of the IFRC, the United Nations Office for the Coordination of Humanitarian Affairs (OCHA) and the Inter-Parliamentary Union to develop a “Model Act for the Facilitation and Regulation of International Disaster Relief and Initial Recovery Assistance” to assist states interested in incorporating the recommendations of the IDRL Guidelines into their legal frameworks;

6. invites further consultation with states and other stakeholders on the use of the model act as a reference tool;

Enhancing disaster risk reduction at the community level through legislation

7. reiterates that legislation is one of a number of key tools available to states to ensure that disaster risks are effectively addressed;

8. affirms that domestic legislation is one of a number of instruments able to promote community level activity to reduce risks as well as the empowerment of communities with respect to risk reduction;
9. encourages states, with support from their National Societies, the IFRC and other relevant partners, such as the United Nations Development Programme (UNDP), to review their existing legislative frameworks at all levels to assess whether they adequately:

   a. establish disaster risk reduction as a priority for community-level action;
   
   b. promote disaster risk mapping at the community level;
   
   c. promote communities’ access to information about disaster risk reduction;
   
   d. promote the involvement of community representatives, National Societies, other civil society actors and the private sector in disaster risk reduction activities at the community level;
   
   e. allocate adequate funding for disaster risk reduction activities at the community level;
   
   f. ensure that development planning adequately takes into account local variability in hazard profiles, exposure, vulnerability and cost-benefit analysis;
   
   g. ensure full implementation of building codes, land use regulations and other legal incentives, taking into account areas of competence of various levels of government within countries, to reduce disaster risk at the community level in a manner that does not impinge unnecessarily on livelihoods or rights; and
   
   h. promote strong accountability for results in reducing disaster risks at the community level;

10. invites National Societies and states to cooperate in widely disseminating information about existing legislation relevant to disaster risk reduction at the community level;

Addressing regulatory barriers to the rapid and equitable provision of emergency and transitional shelter after disasters

11. affirms the importance of finding practical solutions (both formal and informal) for quickly addressing regulatory barriers related to the provision of emergency and transitional shelter after disasters;

12. calls on states, the components of the International Red Cross and Red Crescent Movement and relevant humanitarian organizations to make every effort to assure equitable shelter assistance as between all persons in need, including as between those who possess formal legal title to land or real property and those who do not, as well as between women and men;

13. encourages states, with support from their National Societies, the IFRC and other relevant partners such as the United Nations and the World Bank, to review their existing regulatory frameworks and procedures relevant to post-disaster shelter to determine if they adequately:

   a. provide for rapid measures to assign and/or temporarily requisition land for emergency and transitional shelter, if needed;
   
   b. address how to provide shelter assistance to persons who lack documented title to their damaged or destroyed homes;
   
   c. reduce the potential for any ambiguities or disputes with regard to land or property ownership to delay or hamper the provision of emergency and transitional shelter;
d. allow for tailored building standards relevant to the emergency and/or transitional shelter context; and

e. include measures to mitigate the heightened risk of corruption associated with the provision of assistance in the wake of a natural disaster;

**Extending support and partnerships**

14. *encourages* National Societies, as auxiliaries to their public authorities in the humanitarian field, to continue to provide advice and support to their governments in the development of effective legal and policy frameworks relevant to disaster management at all levels, in particular with respect to the areas of concern mentioned in this resolution;

15. *requests* the IFRC to continue to support National Societies and states in the field of disaster laws, including with respect to the areas of concern mentioned in this resolution, through technical assistance, capacity building, the development of tools, models and guidelines, advocacy and ongoing research;

16. *invites* the IFRC and National Societies to continue to strengthen their partnerships with relevant stakeholders in the area of disaster laws, including OCHA, UNISDR, UNDP, and the World Bank as well as other international, regional and non-governmental organizations and academic experts;

**Ensuring dissemination and review**

17. *invites* states, the IFRC, and National Societies to disseminate this resolution to appropriate stakeholders, including by bringing it to the attention of relevant international and regional organizations;

18. *affirms* the role of the International Conference of the Red Cross and Red Crescent as a key international forum for continued dialogue on the strengthening of disaster laws and on recovery action in synergy with actions conducted by States and international organisations;

19. *requests* the IFRC, in consultation with National Societies, to submit a progress report on the implementation of this resolution to the 32nd International Conference of the Red Cross and Red Crescent.
The Fundamental Principles of the International Red Cross and Red Crescent Movement

**Humanity** / The International Red Cross and Red Crescent Movement, born of a desire to bring assistance without discrimination to the wounded on the battlefield, endeavours, in its international and national capacity, to prevent and alleviate human suffering wherever it may be found. Its purpose is to protect life and health and to ensure respect for the human being. It promotes mutual understanding, friendship, cooperation and lasting peace amongst all peoples.

**Impartiality** / It makes no discrimination as to nationality, race, religious beliefs, class or political opinions. It endeavours to relieve the suffering of individuals, being guided solely by their needs, and to give priority to the most urgent cases of distress.

**Neutrality** / In order to enjoy the confidence of all, the Movement may not take sides in hostilities or engage at any time in controversies of a political, racial, religious or ideological nature.

**Independence** / The Movement is independent. The National Societies, while auxiliaries in the humanitarian services of their governments and subject to the laws of their respective countries, must always maintain their autonomy so that they may be able at all times to act in accordance with the principles of the Movement.

**Voluntary service** / It is a voluntary relief movement not prompted in any manner by desire for gain.

**Unity** / There can be only one Red Cross or Red Crescent Society in any one country. It must be open to all. It must carry on its humanitarian work throughout its territory.

**Universality** / The International Red Cross and Red Crescent Movement, in which all societies have equal status and share equal responsibilities and duties in helping each other, is worldwide.