



**POLICY**

# THE ICRC ITS MISSION AND WORK



**ICRC**

**REFERENCE**



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# **THE ICRC**

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# The ICRC's mission

Since it was founded in 1863, the ICRC has been working to protect and assist the victims of armed conflict and other situations of violence. It initially focused on wounded soldiers but over time it extended its activities to cover all victims of these events.

In *A Memory of Solferino*,<sup>1</sup> Henry Dunant suggested creating national relief societies, recognizable by their common emblem, and an international treaty<sup>2</sup> to protect the wounded on the battlefield. A permanent committee was established in Geneva to further Dunant's ideas. A red cross on a white ground<sup>3</sup> was chosen as the emblem and the committee went on to adopt the name of the International Committee of the Red Cross.

Initially, it was not the ICRC's intention to take action on the ground. However, the National Societies of countries in conflict – viewed as too close to the authorities – asked the ICRC to send its own relief workers, believing that humanitarian work in times of conflict needed to offer guarantees of neutrality and independence acceptable to all parties, which only the ICRC could do. The ICRC therefore had to build up operational activities very quickly within a framework of neutrality and independence, working on both sides of the battlefield. Formal recognition of this function came later, when the Geneva Conventions explicitly recognized the purely humanitarian and impartial

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- <sup>1</sup> Dunant suggested that permanent relief societies be set up which would begin making preparations during peacetime so as to be ready to support the armed forces' medical services in wartime. These societies would coordinate their efforts and be recognized by the authorities. He also proposed that an international congress be held "to formulate some international principle, sanctioned by a Convention inviolate in character, which, once agreed upon and ratified, might constitute the basis for societies for the relief of the wounded," and would also protect the wounded and those coming to their aid (*A Memory of Solferino*, English transl., ICRC, Geneva, 1986, p. 126).
  - <sup>2</sup> In making Henry Dunant's ideas a reality, and in particular, promoting the adoption of a solemn commitment by States to help and care for wounded soldiers without distinction, the ICRC was at the forefront of the development of international humanitarian law. Its fieldwork was later given a legal basis through mandates contained in international humanitarian law and in resolutions adopted at meetings of the International Conference of the Red Cross and Red Crescent.
  - <sup>3</sup> Reversing the colours of the Swiss flag and paying tribute to the country, as host of the Geneva International Conference of 1863.

nature of the ICRC's activities, and gave the organization a special role in ensuring the faithful application of international humanitarian law.

The ICRC defines its **mission** in the following terms:

The International Committee of the Red Cross (ICRC) is an impartial, neutral and independent organization whose exclusively humanitarian mission is to protect the lives and dignity of victims of armed conflict and other situations of violence and to provide them with assistance.

The ICRC also endeavours to prevent suffering by promoting and strengthening humanitarian law and universal humanitarian principles.

Established in 1863, the ICRC is at the origin of the Geneva Conventions and the International Red Cross and Red Crescent Movement. It directs and coordinates the international activities conducted by the Movement in armed conflicts and other situations of violence.<sup>4</sup>

To be able to carry out its mission effectively, the ICRC needs to have the trust of all States, parties<sup>5</sup> and people involved in a conflict or other situation of violence.<sup>6</sup> This trust is based in particular on an awareness of the ICRC's policies and practices. The ICRC gains people's trust through continuity and predictability. Combining effectiveness and credibility irrespective of time, place or range of needs is a permanent challenge for the organization, because it must be able to prove it can be both pragmatic and creative. Within the framework of the ICRC's clear

<sup>4</sup> Text featured in ICRC publications (latest update by the Committee, 19 June 2008).

<sup>5</sup> In this document, "parties" or "authorities" should be understood to mean all entities (*de jure* or *de facto*) having obligations.

<sup>6</sup> See Art. 5.3 of the Statutes of the Movement. In its capacity as a specifically neutral and independent humanitarian organization, the ICRC examines whether it is better placed than other organizations to respond to the needs arising from these situations, such as visiting security detainees in cases where information or rumour indicates there may be poor detention conditions or ill-treatment.

strategy and priorities, its delegations in the field are thus given considerable autonomy to decide how best to help victims of conflict and other situations of violence.

This document describes how the ICRC was shaped, how it operates and how it distinguishes itself from other humanitarian organizations, in particular via its multidisciplinary approach. A lengthy *oeuvre* could be dedicated to this ambitious task, but the idea here is far more modest. The intention is to set forth within a few pages the characteristics of the ICRC's identity and of the scope and methods of its work. While this undertaking may seem somewhat reductionistic, it provides a useful synopsis of the ICRC as it is today.

# The ICRC's identity

## The ICRC's purpose

The *raison d'être* of the ICRC is to ensure respect, through its neutral and independent humanitarian work, for the lives, dignity and physical and mental well-being of victims of armed conflict and other situations of violence. All of the ICRC's work is geared towards meeting this fundamental objective and strives to fulfil this ideal. The ICRC takes action to meet the needs of these people and in accordance with their rights and the obligations incumbent upon the authorities.

## The dual nature of the ICRC's work

The ICRC's work developed along two lines. The first of these is operational, i.e. helping victims of armed conflict and other situations of violence. The second involves developing and promoting international humanitarian law and humanitarian principles.

These two lines are inextricably linked because the first operates within the framework provided by the second, and the second draws on the experience of the first and facilitates the ICRC's response to the needs identified.<sup>7</sup> This dual nature thus reinforces the very identity of the ICRC and distinguishes it from other international humanitarian organizations, private or intergovernmental, which generally concentrate on just one of these two priorities.

## An organization with a mandate

A key characteristic of the ICRC<sup>8</sup> is that it was given a mandate (or rather mandates) by the States party to the Geneva

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<sup>7</sup> Assisting victims of anti-personnel mines is a good example. While treating them, the ICRC receives information that helps it map out the incidents, target representations to the groups responsible, set up awareness-raising programmes to prevent accidents among the local population, adapting the message to the commonest kinds of victims (children, women and shepherds), provide in certain circumstances mine-clearance organizations with information, organize rehabilitation for people with artificial limbs, and perhaps provide them with professional training and loans to start a business. Knowledge and experience of this kind also proved to be useful in the process leading to the adoption of a new treaty prohibiting the use of anti-personnel mines.

<sup>8</sup> The ICRC is often considered to be *sui generis*: legally, it is neither an intergovernmental nor a non-governmental organization. It is a private association under Swiss law with international mandates under public international law.

Conventions<sup>9</sup> to help victims of armed conflict. Its work is therefore firmly rooted in public international law. In other situations of violence, the ICRC derives its mandate from the Statutes of the Movement.

The main legal basis for the ICRC's work is to be found in international humanitarian law. The Statutes of the International Red Cross and Red Crescent Movement<sup>10</sup> (the Movement) and resolutions of the International Conference of the Red Cross and Red Crescent and the Council of Delegates underscore the legitimacy of the ICRC's work. International humanitarian law, like the Statutes of the Movement, confirms a historical tradition of ICRC action which predates its successive codifications.

States gave the ICRC the responsibility of monitoring the faithful application of international humanitarian law. As the guardian of humanitarian law, the ICRC takes measures to ensure respect for, to promote, to reaffirm and even to clarify and develop this body of law. The organization is particularly concerned about possible erosion of international humanitarian law and takes bilateral, multilateral or public steps to promote respect for and development of the law.

The ICRC generally cites international humanitarian law in reference to its activities. It nevertheless reserves the right to cite

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<sup>9</sup> International humanitarian law expressly confers certain rights on the ICRC, such as that of visiting prisoners of war or civilian internees and providing them with relief supplies, and that of operating the Central Tracing Agency (see Arts 73, 122, 123 and 126, GC III, and Arts 76, 109, 137, 140 and 143, GC IV). In addition, international humanitarian law recognizes the ICRC's right of initiative in the event of armed conflict, whether international or non-international (Art. 3 and Arts 9/9/9/10 common to the four Geneva Conventions). The ICRC's role is confirmed in Art. 5 of the Statutes of the Movement. In situations falling below the threshold of international humanitarian law, this article of the Statutes alone recognizes that the ICRC has a mandate to take action.

<sup>10</sup> The States party to the Geneva Conventions normally meet representatives from the components of the Movement (the ICRC, the Federation and the National Societies) once every four years within the framework of the International Conference. The latter is competent to amend the Statutes of the Movement (which define the ICRC's role) and can assign mandates to the various components, but it cannot modify the ICRC or Federation statutes or take any decisions contrary to these statutes (Art. 11.6 of the Statutes of the Movement).

other bodies of law and other international standards protecting people, in particular international human rights law,<sup>11</sup> whenever it deems it necessary.

The ICRC has developed several policy documents that draw on its long experience. These texts serve as a guide for its actions and aim to give the organization long-term coherence, which in turn gives the ICRC added predictability and credibility when exercising its mandate.

### **Membership in a Movement**

Another characteristic of the ICRC is its membership in a Movement — a Movement which it initiated. The ICRC is one component, and National Societies and the International Federation of Red Cross and Red Crescent Societies (the Federation) are the others.<sup>12</sup> This link with the Movement is reinforced by the similarity of tasks of all Movement components and by the use of common emblems.<sup>13</sup> The mission of the National Societies is to carry out humanitarian activities within their own countries, particularly in the role of auxiliaries to the public authorities in the humanitarian field.

The ICRC undertakes procedures to recognize National Societies on the basis of criteria set out in the Statutes of the Movement. The recognition of National Societies makes them full members of the Movement and eligible to become members of the Federation. The ICRC cooperates with them in matters of common concern, such as their preparation for action in times of armed conflict, tracing and reuniting families and spreading knowledge of international humanitarian law and the Movement's Fundamental Principles. In armed conflict and

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<sup>11</sup> The ICRC may cite international human rights law in addition to international humanitarian law when the latter is applicable, or in place of it when it is not.

<sup>12</sup> See in particular Arts 1, 3 and 6 of the Movement Statutes.

<sup>13</sup> The Movement's mission is:

- to prevent and alleviate suffering wherever it may be found;
- to protect life and health and ensure respect for the human being, in particular in times of armed conflict and other emergencies;
- to work for the prevention of disease and for the promotion of health and social welfare;
- to encourage voluntary service and a constant readiness to give help by the members of the Movement, and a universal sense of solidarity towards all those in need of its protection and assistance (Preamble to the Statutes of the Movement).

It may be added that by carrying out its activities throughout the world, the Movement contributes to the establishment of a lasting peace.

other situations of violence, the ICRC is responsible for helping them boost their capacity to meet the increased need for humanitarian aid.<sup>14</sup>

Often it is thanks to the National Societies' presence, resources, local knowledge and motivation that the ICRC can successfully carry out its work in the field. National Societies may also be involved in international operations via the ICRC, the Federation or the National Society of the country in question. The ICRC benefits from a unique worldwide network made up of all the National Societies. Cooperation and coordination within the Movement help make the best possible use of the capacity of all members.

In accordance with the Movement's agreements and rules, the ICRC directs and coordinates international relief activities in "international and non-international armed conflicts" and in situations of "internal strife and their direct results." It also directs and coordinates activities aiming to restore family links in any situation requiring an international emergency response. The ICRC thus has two levels of responsibility:<sup>15</sup>

- doing the humanitarian work that derives from its own mandate and its specific areas of competence;
- coordinating the international operations of the Movement's components.

## **The Fundamental Principles of the International Red Cross and Red Crescent Movement**

The ICRC's endeavour is guided by seven Fundamental Principles, which the organization shares with the other components of the Movement. The principles — humanity, impartiality, neutrality, independence, voluntary service, unity and universality — are set out in the Movement Statutes<sup>16</sup> and constitute the common values that distinguish the Movement

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<sup>14</sup> See "Policy on ICRC cooperation with National Societies," *International Review of the Red Cross (IRRC)*, No. 851, September 2003, pp. 663-678.

<sup>15</sup> See in particular the Seville Agreement adopted by the Council of Delegates in 1997 (Resolution 6) and the Supplementary Measures adopted by the Council of Delegates in 2005 (Resolution 8).

<sup>16</sup> See the Preamble to the Statutes of the Movement. The Fundamental Principles were proclaimed by the 20th International Conference in Vienna in 1965 and were incorporated in a slightly different form in the Statutes of the Movement adopted by the 25th International Conference in Geneva in 1986 and amended in 1995 and 2006.

from other humanitarian organizations. The Movement has given the ICRC the task of upholding and disseminating these principles. The first four, which are set out below, are those most commonly cited by the ICRC and are specifically mentioned in its mission statement:

- Humanity is the supreme principle. It is based on respect for the human being and encapsulates the ideals and aims of the Movement. It is the main driving force behind the ICRC's work.
- Impartiality, a principle that rejects any form of discrimination, calls for equal treatment for people in distress, according to their needs. It enables the ICRC to prioritize its activities on the basis of the degree of urgency and the types of needs of those affected.
- Neutrality enables the ICRC to keep everyone's trust by not taking sides in hostilities or controversies of a political, racial, religious or ideological nature. Neutrality does not mean indifference to suffering, acceptance of war or quiescence in the face of inhumanity; rather, it means not engaging in controversies that divide peoples. The ICRC's work benefits from this principle because it enables the organization to make more contacts and gain access to those affected.<sup>17</sup>
- The ICRC's independence is structural: the Committee's members are all of the same nationality and they are recruited by co-optation. The ICRC is therefore independent of national and international politics, interest groups, and any other entity that may have some connection with a situation of violence. This gives the ICRC the autonomy it needs to accomplish the exclusively humanitarian task entrusted to it with complete impartiality and neutrality.

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<sup>17</sup> The role of neutral intermediary in resolving issues of humanitarian concern follows from the ICRC's distinctiveness as a *specifically* neutral and independent organization (Art. 5.3 of the Movement Statutes).

# Scope of work and criteria for taking action

## There are four different situations in which the ICRC takes action:

1. The ICRC's endeavour to help the victims of international armed conflict and non-international armed conflict is at the heart of its mission.<sup>18</sup> The ICRC offers its services on the basis of international humanitarian law, and after taking due account of the existing or foreseeable need for humanitarian aid.
2. In other situations of violence, the ICRC offers its services if the seriousness of unmet needs and the urgency of the situation warrant such a step. It also considers whether it can do more than others owing to its status as a specifically neutral and independent organization and to its experience. In these situations, its offer of services is based not on international humanitarian law but on the Statutes of the Movement.<sup>19</sup>
3. If a natural or technological disaster or a pandemic occurs in an area where the ICRC has an operational presence, meaning it can deploy quickly and make a significant contribution, the organization steps in with its unique capabilities, to the extent it is able and in cooperation with the Movement. It generally takes action during the emergency phase only.
4. In other situations, it makes its own unique contribution to the efforts of all humanitarian agencies, especially within its fields of expertise such as tracing work and disseminating international humanitarian law and the Fundamental Principles. These are all fields in which it has an explicit mandate.

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<sup>18</sup> International humanitarian law continues to apply even after active hostilities have ceased. When hostilities come to an end, States still have certain obligations, while others come into effect at that point. The ICRC therefore continues to conduct some of its activities and begins others during this transition period. See "Do wars ever end? The work of the International Committee of the Red Cross when the guns fall silent," *IRRC*, No. 851, September 2003, pp. 465-496.

<sup>19</sup> See Arts 5.2(d) and 5.3 of the Movement Statutes.

The ICRC sets priorities on the basis of the following criteria:

- the extent of victims' suffering and the urgency of their needs: the principle of impartiality, mentioned in humanitarian law, remains the pillar of the ICRC's work, which is non-discriminatory and proportionate to the needs of the people requiring protection and assistance;
- its unique capabilities deriving from its distinctiveness as a neutral and independent organization and intermediary and its experience in assisting the victims of armed conflict (local knowledge, human resources, logistics, tracing work, etc.). The particular merit of the ICRC, which results from its principles and its operational experience, is recognized by the international community. It fits into the scheme of an environment for humanitarian work that is characterized by numerous very different agencies;
- the legal basis for its work:<sup>20</sup> the ICRC endeavours to take action in situations where international humanitarian law is applicable and carefully considers the advisability of taking action in the context of the direct results of these situations and in other situations of violence not covered by international humanitarian law (internal disturbances and tensions). In all cases, it tailors its action according to the criteria set out above.

Operational considerations and constraints (such as impact on other activities, whether the ICRC has been invited to take action, and security issues) can be added to these criteria.

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<sup>20</sup> Based on the facts on the ground, the ICRC will determine the legal nature of the situation, which will define its legal frame of reference.

# Strategies for fulfilling the mission: From comprehensive analysis to specific activities

## **A comprehensive analysis**

For any action to be taken, a *comprehensive analysis* of the situation, the actors present,<sup>21</sup> the stakes and the dynamics must be carried out. This enables the ICRC to identify the people adversely affected and their needs. It requires a clear understanding of the problems' causes and a good knowledge of local facilities, their capabilities and their potential. The ICRC endeavours to obtain an overall perspective of an issue of humanitarian concern by looking at all the aspects and at the different responses that would be suitable.

A number of factors should be considered: social, economic, political, cultural, security, religious and ethnic, among others. Analysis should also take account of the interdependence of local, regional and international factors affecting a situation of conflict or any other situation of violence.

Analysis provides a basis for deciding on an overall strategy, with specific *priorities* and *objectives*, and determines the types of problem and/or the categories of needs on which the ICRC is going to concentrate its efforts and its resources. It is then a matter of developing a strategy aimed not only at addressing the direct consequences of problems, but also — as far as possible within the framework of neutral and independent humanitarian activities — their origins and causes.

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<sup>21</sup> Parties to the conflict and other protagonists: armed, humanitarian, UN, non-UN, political and civil society actors, etc.

In so doing, the ICRC must first exploit its strong points<sup>22</sup> and the opportunities offered by the local environment, and second try to minimize its weaknesses and neutralize or circumvent external difficulties. Because of the complementary role played by partners in and outside the Movement, the strong and weak points of these partners must also be taken into account in strategy discussions.

Depending on what needs to be done, the various activities either start simultaneously or consecutively.

### **Four approaches set out in the mission statement that allow the ICRC to fulfil its purpose**

As described in the ICRC's mission statement, the organization combines four approaches in its overall strategy after analysing a situation in order to, directly or indirectly, in the short, medium or long term, ensure respect for the lives, dignity, and physical and mental well-being of victims of armed conflict and other situations of violence.

### **Protecting the lives and dignity of victims of armed conflict and other situations of violence**

#### *The protection approach*

- In order to preserve the lives, security, dignity, and physical and mental well-being of victims of armed conflict and other situations of violence, this approach aims to ensure that authorities and other actors fulfil their obligations and uphold the rights of individuals.
- It also tries to prevent or put an end to actual or probable violations of international humanitarian law or other bodies of law or fundamental rules protecting people in these situations.
- It focuses first on the causes or circumstances of violations, addressing those responsible and those who can influence them, and second on the consequences of violations.

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<sup>22</sup> The ICRC can always count on its delegation employees, who are familiar with the local environment and who are specialists in their work. Depending on the circumstances, it can also seek support from others in the Movement network.

## Assisting victims of armed conflict and other situations of violence

### *The assistance approach*

- The aim of assistance<sup>23</sup> is to preserve life and/or restore the dignity of individuals or communities adversely affected by armed conflict or other situations of violence.
- Assistance activities principally address the consequences of violations of international humanitarian law and other relevant bodies of law. They may also tackle the causes and circumstances of these violations by reducing exposure to risk.
- Assistance covers the unmet essential needs of individuals and/or communities as determined by the social and cultural environment. These needs vary, but responses mainly address issues relating to health, water, sanitation, shelter and economic security<sup>24</sup> by providing goods and services, supporting existing facilities and services and encouraging the authorities and others to assume their responsibilities.

## Directing and coordinating the Movement's international relief efforts in armed conflict and other situations of violence

### *The cooperation approach*

- The aim of cooperation<sup>25</sup> is to increase the operational capacities of National Societies, above all in countries affected or likely to be affected by armed conflict or other situations of violence. A further aim is to increase the ICRC's capacity to interact with National Societies and work in partnership with them.
- The cooperation approach aims to optimize the humanitarian work of Movement components by making the best use of complementary mandates and skills in operational matters such as protection, assistance and prevention.

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<sup>23</sup> See "ICRC assistance policy," *IRRC*, No. 855, September 2004, pp. 677-693.

<sup>24</sup> The responses are as varied as the needs. An exhaustive list would be impossible, since each new situation requires new responses. These responses also take into account protection of the environment. The ICRC also occasionally takes action in the event of natural disasters (see page 11, point 3).

<sup>25</sup> See "Policy on ICRC cooperation with National Societies," *IRRC*, No. 851, September 2003, pp. 663-678.

- It involves drawing up and implementing the policies of the Movement that are adopted during its statutory meetings and strengthening the capacities of the National Societies, helping them to adhere at all times to the Fundamental Principles.

### **Endeavouring to prevent suffering by promoting, reinforcing and developing international humanitarian law and universal humanitarian principles**

#### *The prevention approach*

- The aim of prevention<sup>26</sup> is to foster an environment that is conducive to respect for the lives and dignity of those who may be adversely affected by armed conflict and other situations of violence, and that favours the work of the ICRC.
- This approach aims to prevent suffering by influencing those who have a direct or indirect impact on the fate of people affected by these situations. This generally implies a medium or long-term perspective.<sup>27</sup>
- In particular, the prevention approach involves communicating, developing, clarifying and promoting the implementation of international humanitarian law and other applicable bodies of law, and promoting acceptance of the ICRC's work.

### **Combining activities: Multidisciplinary**

Each activity responds, in humanitarian terms, to a specific problem or to common problems. Each approach uses its own implementation strategies. These strategies combine different **activities** from the four programmes detailed in the annual planning tool: protection, assistance, prevention and cooperation. Thus, a protection strategy could also include activities from the assistance, prevention or cooperation

<sup>26</sup> See for example "The role of the ICRC in preventing armed conflict: its possibilities and limitations," *IRRC*, No. 844, December 2001, pp. 923-946.

<sup>27</sup> Although the main aim of the ICRC is neither to promote world peace nor to prevent armed conflict, its work and that of the other components of the Movement make a direct contribution to this.

programmes. Digging wells in a camp for the displaced may be one aspect of an assistance programme and may be intended to tackle the lack of water. It would therefore form part of the assistance approach. However, this activity could equally be intended primarily to protect people exposed to violence while looking for water outside the camp. It therefore also forms part of the protection approach.

Combining activities is particularly important. The ICRC is duty bound to use all means at its disposal, according to each situation and to the priorities and objectives identified. Furthermore, the different approaches are of mutual assistance: for example, ICRC staff may receive information on violations of international humanitarian law while carrying out assistance work and this can then provide the grounds for making representations to the authorities, which is part of the protection approach. In conflict situations, assistance activities often take on a protection nature, and vice versa, to the point of being inextricably linked. It was after all to the ICRC that the Movement assigned the task of endeavouring at all times to *protect* and *assist* victims of these events.<sup>28</sup>

Combining activities is often supported by what the ICRC calls its **humanitarian diplomacy**. The aim is to influence – and if necessary modify – the political choices of States, armed groups, and international and supranational organizations in order to enhance compliance with international humanitarian law and to promote the ICRC's major objectives. To that end, the ICRC encourages the various services and hierarchical levels at headquarters and its network of delegations to increase dialogue with these entities on general issues of concern to it. The essential message of humanitarian diplomacy is the same for all delegations, whatever their operational priorities.

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<sup>28</sup> Art. 5.2(d) of the Statutes of the Movement.

# Coordination of humanitarian activities

Both from headquarters and in the field, the ICRC coordinates its activities with other humanitarian organizations<sup>29</sup> in order to improve the lives, directly or indirectly, of victims of armed conflict and other situations of violence. Coordination is only possible as far as the strictly humanitarian approach of the ICRC, as an impartial, neutral and independent organization, allows.<sup>30</sup> Authority cannot be ceded to any other entity or group of entities.

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<sup>29</sup> Coordination within the Movement was discussed in pages 8-9 ("Membership in a Movement").

<sup>30</sup> See editorial in the *IIRC*, No. 865, March 2007, pp. 5-6.

# Modes of action

In keeping with the emphasis it places on complementary roles, the ICRC takes into account its partners' (in and outside the Movement) strong and weak points and their fields of expertise in its strategic discussions.

The ICRC's strategy is based on combining "modes of action" and on selecting the appropriate activities depending on the approach (or approaches) chosen. Modes of action are the methods or means used to persuade authorities to fulfil their obligations towards individuals or entire populations.

The ICRC's *modes of action* are: raising awareness of responsibility (persuasion, mobilization, denunciation), support, and substitution (direct provision of services). The ICRC does not limit itself to any one of them; on the contrary, it combines them, striking a balance between them either simultaneously or consecutively.

1. The aim of raising awareness of responsibility is to remind people of their obligations and, where necessary, to persuade them to change their behaviour. This translates into three methods:
  - a. *Persuasion* aims to convince someone to do something which falls within his area of responsibility or competence, through bilateral confidential dialogue. This is traditionally the ICRC's preferred mode of action.
  - b. The organization may also seek outside support, through *mobilization* of influential third parties (e.g. States, regional organizations, private companies, members of civil society or religious groups who have a good relationship with the authorities in question). The ICRC chooses such third parties with care, contacting only those who it thinks will be able to respect the confidential nature of the information that they receive.

- c. Faced with an authority which has chosen to neglect or deliberately violate its obligations, persuasion (even with the mobilization of support from influential third parties) may not be effective. In certain circumstances, therefore, the ICRC may decide to break with its tradition of confidentiality and resort to public *denunciation*. This mode of action is used only as part of the protection approach, which focuses on the imminent or established violation of a rule protecting individuals.
2. If authorities are unable to take action, the ICRC provides *support* where necessary to enable them to assume their responsibilities.
3. When the competent authorities do not take or are unable to take appropriate measures (owing to lack of means, or unwillingness, or when no such authorities exist), the ICRC takes direct action in their place (*substitution*) to meet the needs of the people or populations affected. If the situation is critical, the ICRC acts first and then speaks to the authorities to persuade them to take appropriate measures or to help them examine possible solutions.

# Guidelines for action

The above-mentioned strategy is implemented with consideration for the following guidelines:

1. The ICRC's humanitarian work is *impartial, neutral and independent*. Experience has taught it that this approach offers the best chance of being accepted during an armed conflict or other situation of violence, in particular given the risk that actors at a local, regional or international level may become polarized or radicalized. The integration of political, military and humanitarian means as recommended by some States is therefore a major source of difficulty for the ICRC. The organization insists on the need to avoid a blurring of lines while still allowing for the possibility of complementary action.
2. Many of the ICRC's tasks are carried out *close to the people concerned* – in the field, in other words, where the organization has better access to them. The individuals and communities concerned must be consulted in order to better establish their needs and interests, and they should be associated with the action taken.<sup>31</sup> Their value systems, their specific vulnerabilities and the way they perceive their needs must all be taken into consideration. The ICRC favours a participatory approach aimed at building local capacities.
3. The ICRC has a *universal* vocation. Its work is not limited to certain places, or to certain types of people (such as children or refugees). With a presence in numerous regions of the world, the ICRC has an overall vision that enables it to undertake comprehensive analysis. The organization must have a coherent approach everywhere it works if it is to appear transparent and predictable.

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<sup>31</sup> They should contribute, for example, to decisions regarding priorities and regarding the implementation, management and assessment of programmes.

However, this does not mean that ICRC activities are uniform. Taking the context into consideration is still a key aspect of analysis and strategy.

4. The ICRC gets involved during the emergency phase and stays for *as long as is necessary*. However, the organization is careful to ensure that its involvement does not dissuade the authorities from fully assuming their responsibilities or the communities affected from relying on their usual coping mechanisms. It also takes care not to get in the way of other organizations and actors who are building up civil society's resources. Measures are taken so that the ICRC is able to leave the scene in an appropriate manner when the time comes.
5. The ICRC engages in *dialogue* with all those involved in an armed conflict or other situation of violence who may have some influence on its course, whether they are recognized by the community of States or not. No one is excluded, not only because engaging in dialogue does not equate to formal recognition but also because multiple and varied contacts are essential for assessing a situation and for guaranteeing the safety of ICRC activities and personnel. The ICRC maintains a network of contacts locally, regionally and internationally. In the event of violations of international humanitarian law or other bodies of law or other fundamental rules protecting people in situations of violence, the ICRC attempts to influence the perpetrators. In the first instance, it will take bilateral confidential action (see pages 19–20). When it comes to confidential action and to communication with the public, the ICRC wants to promote transparency and present itself as an organization acting in a credible and predictable manner. Moreover, reflecting the interest that States have in the unique status and role of the ICRC,

the organization's right to abstain from giving evidence has been recognized by several sources of international law.<sup>32</sup>

6. While doing what it can to help needy people, the ICRC also takes into consideration the efforts of others since there is a wide variety of agencies in the humanitarian world. The main objective of *interacting* with other providers of aid is to make the best use of complementary efforts in order to meet needs. Interacting should provide the basis for building on the skills of each and hence for obtaining the best possible results, then continue to respond to needs in the long term through programme handover. Interaction should therefore be based on transparency, equality, effective operational capacities and a complementary relationship between organizations. It starts with – but is not limited to – the Movement and its universal network. Indeed, the other components emerge as the ICRC's natural and preferred partners, with whom it would like to develop and strengthen a common identity and vision (see pages 8–9).
7. Through its work, the ICRC bears a certain responsibility for the individuals or entire populations it endeavours to protect and assist. Its fundamental concern is to have a genuinely positive *impact* on their lives. It has set up a framework of accountability and tools for planning, monitoring and assessing its actions; these help it examine its performance and results and hence constantly improve the quality of its work. The ICRC evaluates all of its activities using various criteria and indicators, including thresholds of success and failure, so that it can become more effective and find the most appropriate way of answering to beneficiaries and donors. Its work is regularly assessed, and reoriented if necessary.

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<sup>32</sup> In three sources principally: (1) Rule 73 of the Rules of Procedure and Evidence of the International Criminal Court, (2) Decision of 27 July 1999 by the International Criminal Tribunal for the Former Yugoslavia in the case of *Prosecutor v. Simić et al.*, "Decision on the prosecution motion under Rule 73 for a ruling concerning the testimony of a witness," and (3) headquarters agreements that the ICRC has signed with over 80 States.



## MISSION

The International Committee of the Red Cross (ICRC) is an impartial, neutral and independent organization whose exclusively humanitarian mission is to protect the lives and dignity of victims of armed conflict and other situations of violence and to provide them with assistance. The ICRC also endeavours to prevent suffering by promoting and strengthening humanitarian law and universal humanitarian principles. Established in 1863, the ICRC is at the origin of the Geneva Conventions and the International Red Cross and Red Crescent Movement. It directs and coordinates the international activities conducted by the Movement in armed conflicts and other situations of violence.



ICRC